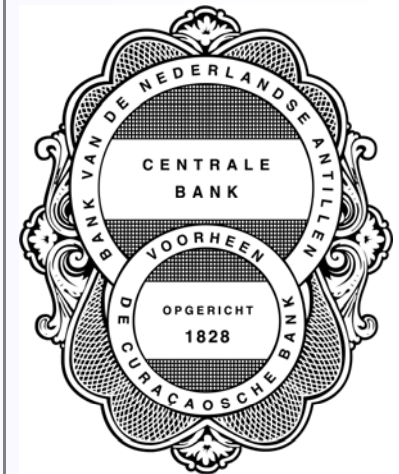


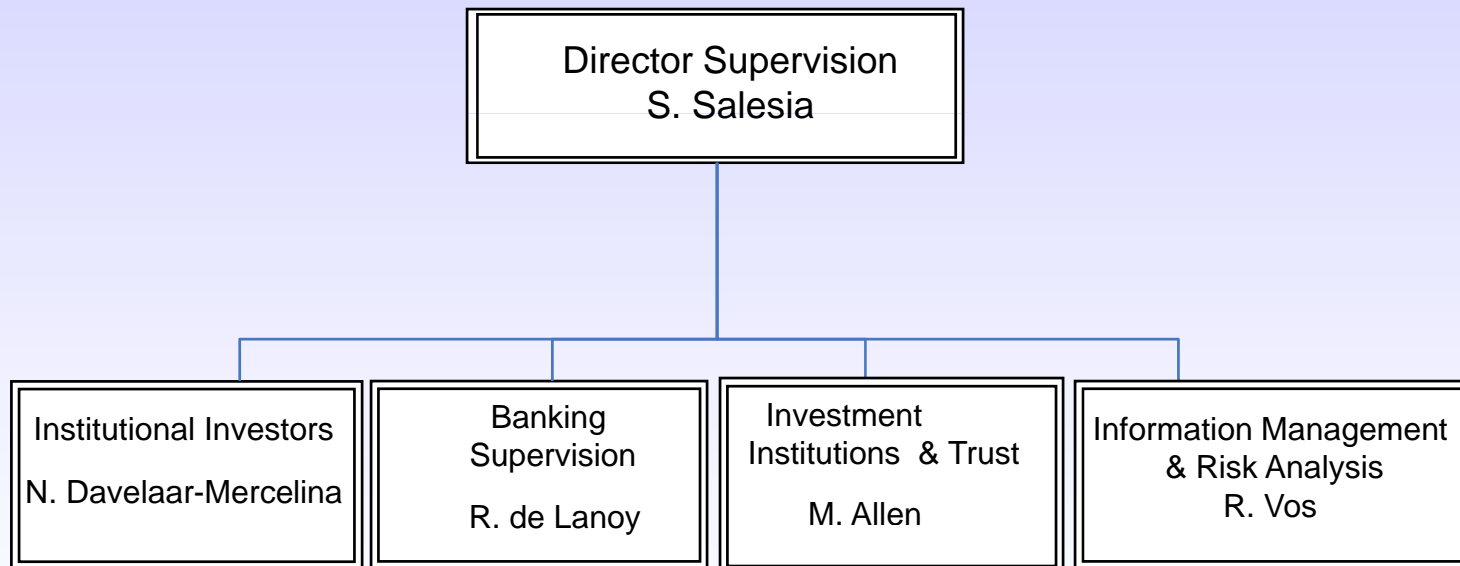
The Information Management & Risk Analysis Department (IMRA)

Ruthmilda Vos
Coordinator IMRA





Organizational Chart of Supervision





Financial Institutions under Supervision

Prudential Supervision

- Credit Institutions (Banks, Credit Unions, Saving banks, Saving and Credit Funds, Specialized Credit Institutions, International Banks)
- Insurance Companies
- Pension funds
- Captives
- Reinsurance companies
- Funeral Insurance companies
- Brokers

Behavioral Supervision

- Investment Institutions
- Administrators
- Trust Service Providers

Information Management & Risk Analysis (IM&RA)

IT-examinations:

Risk based analysis on the following domains:

1. Information Security
2. Business Continuity Planning
3. IT Service Management
4. IT Governance
5. Development & Acquisition
6. Outsourcing Technology Services



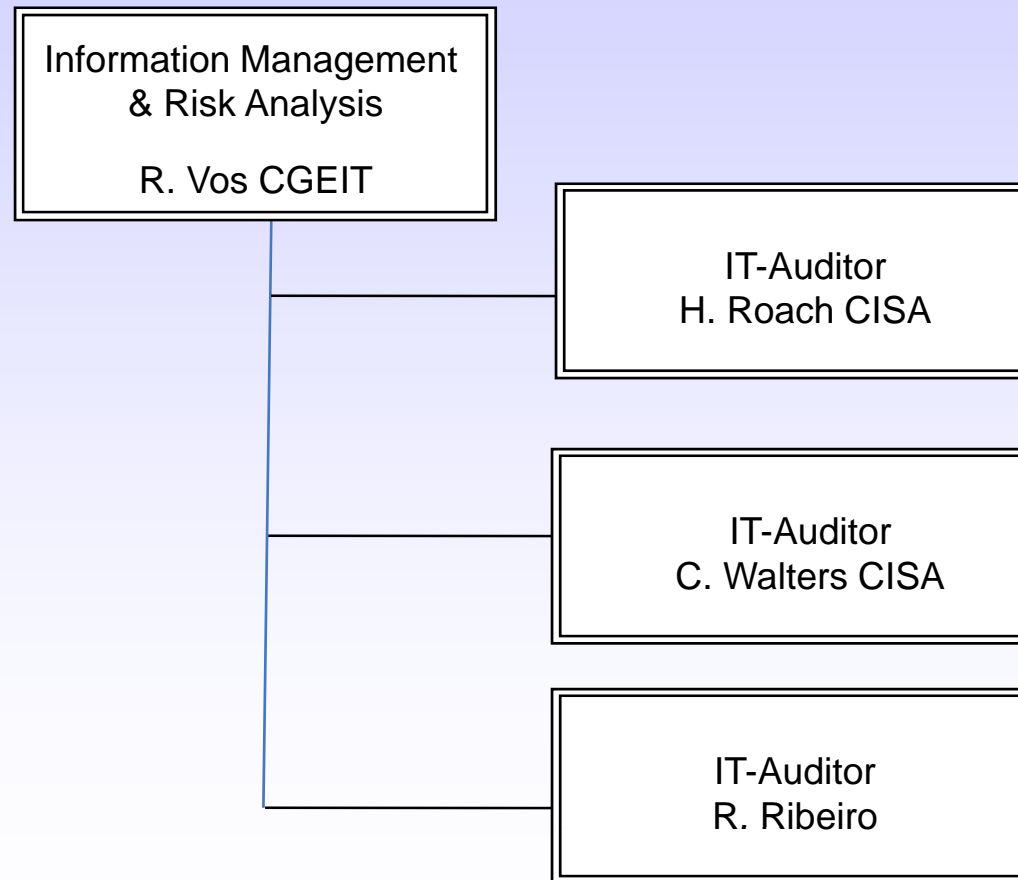


Types of IT-examinations

- Full scope examination
- Quick Scan
- Theme examination
- Sector



Information Management & Risk Analysis department



IM&RA Policies & Guidelines

- Management of Computer Risks for senior management
- Policy Memorandum IMRA
- Provision & Guidelines for Business Continuity
- Supervised Institution IT Questionnaire





Policy Memorandum IMRA

The IT Framework Memorandum

The IT Framework Memorandum is the basis for various Provisions and Guidelines that the Bank will issue in the upcoming years. In addition the Memorandum serves to increase Senior Management's awareness of the general control elements that may be effective in safeguarding the institution's operations against such risks.



Policy Memorandum IMRA

The IT Framework Memorandum

● Memorandum Objectives:

- Streamline the level of competence on the governance of IT for all supervised institutions;
- Provide the institution's daily management and the board of supervisory directors with a framework for effective governance of IT processes;
- Provide the institution's IT management with guidelines that can be used to create a strong control environment;
- Improve the security, stability and resilience of IT systems and professionalism of IT staff in the financial sector; and
- Supply internal and external auditors of the financial sector with a framework to audit IT processes.



Supervised Institution IT Questionnaire

- The purpose of this Supervised Institutions IT Questionnaire is to:
 - Evaluate the adequacy of IT related controls in place at each institution
 - Determine the IT Governance maturity level of each institution



Provision & Guidelines for Business Continuity Management

- The Provisions for BCM apply to all supervised institutions irrespective of their size. Supervised institutions should draft business continuity plans and mitigate operational risks tailored to the nature, size, scope of its operations and complexity of its business.
- The Provisions for BCM set out **what the supervised institution needs to do.**



Questions



June 24, 2010

Business Continuity Management Seminar

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